

# Comparison between Tagged Corpora for the Named Entity Task

Chikashi NOBATA      Nigel COLLIER and Jun'ichi TSUJII

Kansai Advanced Research Center  
Communications Research Laboratory  
588-2 Iwaoka, Iwaoka-cho, Nishi-ku  
Kobe, Hyogo, 651-2492 JAPAN  
nova@crl.go.jp

Department of Information Science  
Graduate School of Science  
University of Tokyo, Hongo 7-3-1  
Bunkyo-ku, Tokyo, 113-0033 JAPAN  
{nigel, tsujii}@is.s.u-tokyo.ac.jp

## Abstract

We present two measures for comparing corpora based on information theory statistics such as gain ratio as well as simple term-class frequency counts. We tested the predictions made by these measures about corpus difficulty in two domains — news and molecular biology — using the result of two well-used paradigms for NE, decision trees and HMMs and found that gain ratio was the more reliable predictor.

## 1 Introduction

With the advent of the information society and increasing availability of large amounts of information in electronic form, new technologies such as information extraction are emerging to meet user's information access needs. Recent evaluation conferences such as TREC (Voorhees and Harman, 2000) showed the feasibility of this task and highlighted the need to combine information retrieval (IR) and extraction (IE) to go beyond simply offering the user a long ranked list of interesting documents to providing facts for user's questions.

The problem of domain dependence remains a serious one and in fact there has been very little work so far to compare the difficulty of IE tasks for different domains and their corpora. Such knowledge is useful for developing IE systems that are portable between domains. This paper begins to address this issue, in particular the lowest level of IE task, defined in the TIPSTER sponsored MUC-6 conference (MUC, 1995) as *named entity* (NE). This is emerging as a key technology in several other IE-related tasks such as question answering. We seek here to show theoretically motivated measures for comparing the difficulty of corpora for the NE task in two domains, newswire and molecular-biology. We then test the predictions

made by these measures against actual system performance.

Recently IE systems based on supervised learning paradigms such as hidden Markov models (Bikel et al., 1997), maximum entropy (Borthwick et al., 1998) and decision trees (Sekine et al., 1998) have emerged that should be easier to adapt to new domains than the dictionary-based systems of the past. Much of this work has taken advantage of smoothing techniques to overcome problems associated with data sparseness (Chen and Goodman, 1996).

The two corpora we use in our NE experiments represent the following domains:

- Newswire: acquisition of names of people, organizations and monetary units etc., from the MUC-6 data set.
- Molecular-biology: acquisition of proteins, DNAs, RNAs etc. from a subset of the MEDLINE database (MEDLINE, 1999).

Information extraction in the molecular-biology domain (Sekimizu et al., 1998) (Craven and Kuhlman, 1999) (Rindfleisch et al., 2000) has recently become a topic of interest to the NLP community. This is a result of the need to formalise the huge number of research results that appear in free-text form in online collections of journal abstracts and papers such as MEDLINE for databases such as Swissprot (Bairoch and Apweiler, 1997) and also to search such collections for facts in an intelligent way.

The purpose of our study is not to show a high level of absolute system performance. In fact since we use only the MUC-6 executive succession data set of 60 articles and a new MEDLINE data set of 100 articles we cannot hope to achieve performance limits. What we aim to do is to compare model performance against the predictions of corpus difficulty made by two different methods. In the rest of this paper we firstly introduce the NE models used for evaluation, the two corpora we

examined and then the difficulty comparison metrics. Predictive scores from the metrics are examined against the actual performance of the NE models.

## 2 Models

Recent studies into the use of supervised learning-based models for the NE task in the molecular-biology domain have shown that models based on hidden Markov models (HMMs) (Collier et al., 2000) and decision trees (Nobata et al., 1999) are not only adaptable to this highly technical domain, but are also much more generalizable to new classes of words than systems based on traditional hand-built heuristic rules such as (Fukuda et al., 1998). We now describe two models used in our experiments based on the decision trees package C4.5 (Quinlan, 1993) and HMMs (Rabiner and Juang, 1986).

### 2.1 Decision tree named entity recogniser:NE-DT

A decision tree is a type of classifier which has “leaf nodes” indicating classes and “decision nodes” that specify some test to be carried out, with one branch or subtree for each possible outcome of the test. A decision tree can be used to classify an object by starting at the root of the tree and moving through it until a leaf is encountered. When we can define suitable features for the decision tree, the system can achieve good performance with only a small amount of training data.

The system we used is based on one that was originally created for Japanese documents (Sekine et al., 1998). It has two phases, one for creating the decision tree from training data and the other for generating the class-tagged text based on the decision tree. When generating decision trees, trigrams of words were used. For this system, words are considered to be quadruple features. The following features are used to generate conditions in the decision tree:

**Part-of-speech information:** There are 45 part-of-speech categories, whose definitions are based on Pennsylvania Treebank’s categories. We use a tagger based on Adwait Ratnaparkhi’s method (Ratnaparkhi, 1996).

**Character type information:** Orthographic information is considered such as upper case, lower case, capitalization, numerical expressions, symbols. These character features are the same as those used by NEHMM

described in the next section and shown in Table 1.

**Word lists specific to the domain:** Word lists are made from the training corpus. Only the 200 highest frequency words are used.

### 2.2 Hidden Markov model named entity recogniser: NEHMM

HMMs are a widely used class of learning algorithms and can be considered to be stochastic finite state machines. In the following model, summarized here from the full description given in (Collier et al., 2000), we consider words to be ordered pairs consisting of a surface word,  $W$ , and a word feature,  $F$ , given as  $\langle W, F \rangle$ . The word features themselves are discussed below. As is common practice, we need to calculate the probabilities for a word sequence for the first word’s name class and every other word differently since we have no initial name-class to make a transition from. Accordingly we use the following equation to calculate the initial name class probability,

$$\begin{aligned} Pr(NC_t | \langle W_{first}, F_{first} \rangle) = \\ \sigma_0 f(NC_{first} | \langle W_{first}, F_{first} \rangle) + \\ \sigma_1 f(NC_{first} | \langle -, F_{first} \rangle) + \\ \sigma_2 f(NC_{first}) \end{aligned} \quad (1)$$

and for all other words and their name classes as follows:

$$\begin{aligned} Pr(NC_t | \langle W_t, F_t \rangle, \langle W_{t-1}, F_{t-1} \rangle, NC_{t-1}) = \\ \lambda_0 f(NC_t | \langle W_t, F_t \rangle, \langle W_{t-1}, F_{t-1} \rangle, NC_{t-1}) + \\ \lambda_1 f(NC_t | \langle -, F_t \rangle, \langle W_{t-1}, F_{t-1} \rangle, NC_{t-1}) + \\ \lambda_2 f(NC_t | \langle W_t, F_t \rangle, \langle -, F_{t-1} \rangle, NC_{t-1}) + \\ \lambda_3 f(NC_t | \langle -, F_t \rangle, \langle -, F_{t-1} \rangle, NC_{t-1}) + \\ \lambda_4 f(NC_t | NC_{t-1}) + \\ \lambda_5 f(NC_t) \end{aligned} \quad (2)$$

where  $f()$  is calculated with maximum-likelihood estimates from counts on training data.

In our current system we set the constants  $\lambda_i$  and  $\sigma_i$  by hand and let  $\sum \sigma_i = 1.0$ ,  $\sum \lambda_i = 1.0$ ,  $\sigma_0 \geq \sigma_1 \geq \sigma_2$ ,  $\lambda_0 \geq \lambda_1 \dots \geq \lambda_5$ . The current name-class  $NC_t$  is conditioned on the current word and feature, the previous name-class,  $NC_{t-1}$ , and previous word and feature.

Equations 1 and 2 implement a *linear-interpolating* HMM that incorporates a number of sub-models designed to reduce the effects of data sparseness.

Table 1: Word features with examples

Word Feature	Example	Feature	Ex.
TwoDigitNumber	25	OpenSquare	[
FourDigitNumber	2000	CloseSquare	]
DigitNumber	15012	Colon	:
SingleCap	M	SemiColon	;
GreekLetter	alpha	Percent	%
CapsAndDigits	I2	OpenParen	(
TwoCaps	RalGDS	CloseParen	)
LettersAndDigits	p52	Comma	,
InitCap	Interleukin	FullStop	.
LowCaps	kappaB	Determiner	the
Lowercase	kinases	Conjunction	and
Hyphon	-	Other	*+#
Backslash	/		

Once the state transition probabilities have been calculated according to Equations 1 and 2, the Viterbi algorithm (Viterbi, 1967) is used to search the state space of possible name class assignments in linear time to find the highest probability path, i.e. to maximise  $Pr(W, NC)$ . The final stage of our algorithm that is used after name-class tagging is complete is to use a clean-up module called *Unity*. This creates a frequency list of words and name-classes and then re-tags the text using the most frequently used name class assigned by the HMM. We have generally found that this improves F-score performance by between 2 and 4%, both for re-tagging spuriously tagged words and for finding untagged words in unknown contexts that had been correctly tagged elsewhere in the text.

Table 1 shows the character features that we used in both NEHMM and NE-DT. Our intuition is that such features will help the model to find similarities between known words that were found in the training set and unknown words and so overcome the unknown word problem.

### 3 Corpora

We used two corpora in our experiments representing two popular domains in IE, molecular-biology (from MEDLINE) and newswire texts (from MUC-6). These are now described.

#### 3.1 MUC-6

The corpus for MUC-6 (MUC, 1995) contains 60 articles, from the test corpus for the dry and formal runs. An example can be seen in Figure 1. We can see several interesting features of the domain such as the focus of NEs on people and organization profiles. Moreover we see that there are many pre-name clue words such as “Ms.” or “Rep.” indi-

cating that a Republican politician’s name should follow.

#### 3.2 Biology

In our tests in the domain of molecular-biology we are using abstracts available from PubMed’s MEDLINE. The MEDLINE database is an online collection of abstracts for published journal articles in biology and medicine and contains more than nine million articles. Currently we have extracted a subset of MEDLINE based on a search using the keywords *human AND blood cell AND transcription factor* yielding about 3650 abstracts. Of these 100 documents were NE tagged for our experiments using a human domain expert. An example of the annotated abstracts is shown in Figure 2. In contrast to MUC-6 each article is quite short and there are few pre-class clue words making the task much more like terminology identification and classification than pure name finding.

### 4 A first attempt at corpus comparison based on simple token frequency

A simple and intuitive approach to NE task difficulty comparison used in some previous studies such as (Palmer and Day, 1997) who studied corpora in six different languages, compares class-term token ratios on the assumption that rarer classes are more difficult to acquire. The relative frequency counts from these ratios also give an indirect measure of the *granularity* of a class, i.e. how wide it is. While this is appealing, we show that this approach does not necessarily give the best metric for comparison.

The number of different tokens is influenced by the corpus size and is not a suitable index that can uniformly show the difficulty for different NE tasks, therefore it should be normalized. Here we use words as tokens.

Tables 2 and 3 show the ratio of the number of different words used in NEs to the total number of words in the NE class vocabulary. A value close to zero indicates little variation within the class and should imply that the class is easier to acquire. We see that the NEs in the biology domain seem overall to be easier to acquire than those in the MUC-6 domain given lexical variation.

The figures in the second columns of Tables 2 and 3 are normalized so that numerals are replaced by a single token. It still seems though that MUC-6 is a considerably more challenging domain than biology. This is despite the fact that the ratios for ENAMEX expressions such as *Date*,

A graduate of <ENAMEX TYPE="ORGANIZATION">Harvard Law School</ENAMEX>, Ms. <ENAMEX TYPE="PERSON">Washington</ENAMEX> worked as a lawyer for the corporate finance division of the <ENAMEX TYPE="ORGANIZATION">SEC</ENAMEX> in the late <TIMEX TYPE="DATE">1970s</TIMEX>. She has been a congressional staffer since <TIMEX TYPE="DATE">1979</TIMEX>. Separately, <ENAMEX TYPE="PERSON">Clinton</ENAMEX> transition officials said that <ENAMEX TYPE="PERSON">Frank Newman</ENAMEX>, 50, vice chairman and chief financial officer of <ENAMEX TYPE="ORGANIZATION">BankAmerica Corp.</ENAMEX>, is expected to be nominated as assistant <ENAMEX TYPE="ORGANIZATION">Treasury</ENAMEX> secretary for domestic finance.

Figure 1: Example sentences taken from the annotated MUC-6 NE text

<PROTEIN>Sox-4</PROTEIN>, an <PROTEIN>Sry-like HMG box protein</PROTEIN>, is a transcriptional activator in <SOURCE.cell-type>lymphocytes</SOURCE>. Previous studies in <SOURCE.cell-type>lymphocytes</SOURCE> have described two DNA-binding <PROTEIN>HMG box proteins</PROTEIN>, <PROTEIN>TCF-1</PROTEIN> and <PROTEIN>LEF-1</PROTEIN>, with affinity for the <DNA>A/TA/TCAAAG motif</DNA> found in several <SOURCE.cell-type>T cell</SOURCE>-specific enhancers. Evaluation of cotransfection experiments in <SOURCE.cell-type>non-T cells</SOURCE> and the observed inactivity of an <DNA>AACAAAG concatamer</DNA> in the <PROTEIN>TCF-1</PROTEIN>/<PROTEIN>LEF-1</PROTEIN>-expressing <SOURCE.cell-line>T cell line BW5147</SOURCE>, led us to conclude that these two proteins did not mediate the observed enhancer effect.

Figure 2: Example sentences taken from the annotated biology text

Table 2: Frequency values for words in the MUC-6 test corpus

Class	Original	Norm. numerals
Org.	0.28(=507 / 1783)	0.28(=507 / 1783)
Person	0.45(=381 / 838)	0.45(=381 / 838)
Loc.	0.38(=148 / 390)	0.38(=148 / 390)
Date	0.23(=123 / 542)	0.11(= 60 / 542)
Time	1.00(= 3 / 3)	1.00(= 3 / 3)
Money	0.33(=138 / 423)	0.05(= 20 / 423)
Percent	0.39(= 42 / 108)	0.03(= 3 / 108)
All	0.33(=1342/4087)	0.27(=1122/4087)

*Money* and *Percent* all fall significantly. Expressions in the *Time* class are so rare however that it is difficult to make any sort of meaningful comparison. In the biology corpus, the ratios are not significantly changed and the NE classes defined for biology documents seem to have the same characteristics as non-numeric ENAMEX classes in MUC-6 documents.

Comparing between the biology documents and the MUC-6 documents, we may say that identifying entities in biology documents is easier than identifying ENAMEX entities in MUC-6 documents.

## 5 Experiments

We evaluated the performance of our two systems using a cross validation method. For the MUC-6 corpus, 6-fold cross validation was performed on the 60 texts and 5-fold cross validation was performed for the 100 texts in the biology corpus.

Table 3: Frequency values for words in the biology corpus

Class	Original	Norm. numerals
DNA	0.21(=245 / 1140)	0.20(=228 / 1140)
Protein	0.15(=631 / 4125)	0.13(=540 / 4125)
RNA	0.43(= 30 / 70)	0.43(= 30 / 70)
Source	0.16(=248 / 1533)	0.16(=242 / 1533)
All	0.17(=1154/6868)	0.15(=1040/6868)

We use “F-scores” for evaluation of our experiments (Van Rijsbergen, 1979). “F-score” is a measurement combining “Recall” and “Precision” and defined in Equation 3. “Recall” is the percentage of answers proposed by the system that correspond to those in the human-made key set. “Precision” is the percentage of correct answers among the answers proposed by the system. The F-scores presented here are automatically calculated using a scoring program (Chinchor, 1995).

$$\text{F-score} = \frac{2 \times \text{Precision} \times \text{Recall}}{\text{Precision} + \text{Recall}} \quad (3)$$

In Table 4 we show the actual performance of our term recognition systems, NE-DT and NEHMM. We can see that corpus comparisons based only on class-token ratios are inadequate to explain why both systems’ performance was about the same in both domains or why NEHMM did better in both test corpora than NE-DT. The difference in performance is despite there being more training examples in biology (3301 NEs) than in MUC-6 (2182 NEs). Part of the reason for this is

Table 4: Performance of the NE systems

System	MUC-6	Biology
NEHMM with Unity	78.4	75.0
NEHMM w/o Unity	74.2	73.1
NE-DT	68.5	69.4

that the class-token ratios ignore individual system knowledge, i.e. the types of features that can be captured and useful in the corpus domain. Among other considerations they also fail to consider the overlap of features between classes in the same corpus domain.

## 6 Corpus comparison based on information theoretical measures

In this section we attempt to present measures that overcome some of the limitations of the class-token method. We evaluate the contribution from each feature used in our NE recognition systems by calculating its entropy. There are three types of feature information used by our two systems: lexical information, character type information, and part-of-speech information.

The entropy for NE classes  $H(C)$  is defined by

$$H(C) = - \sum_{c \in C} p(c) \log_2 p(c)$$

where:

$$p(c) = \frac{n(c)}{N}$$

$n(c)$ : the number of words in class  $c$

$N$ : the total number of words in text

We can calculate the entropy for features in the same way.

When a feature  $F$  is given, the conditional entropy for NE classes  $H(C|F)$  is defined by

$$H(C|F) = - \sum_{c \in C} \sum_{f \in F} p(c, f) \log_2 p(c|f)$$

where:

$$p(c, f) = \frac{n(c, f)}{N}$$

$$p(c|f) = \frac{n(c, f)}{n(f)}$$

$n(c, f)$ : the number of words in class  $c$   
with the feature value  $f$

$n(f)$ : the number of words  
with the feature value  $f$

Using these entropies, we can calculate information gain (Breiman et al., 1984) and gain ratio (Quinlan, 1990). Information gain for NE classes and a feature  $I(C; F)$  is given as follows:

$$I(C; F) = H(C) - H(C|F)$$

The information gain  $I(C; F)$  shows how the feature  $F$  is related with NE classes  $C$ . When  $F$  is completely independent of  $C$ , the value of  $I(C; F)$  becomes the minimum value 0. The maximum value of  $I(C; F)$  is equivalent to that of  $H(C)$ , when the feature  $F$  gives sufficient information to recognize named entities. Information gain can also be calculated by:

$$I(C; F) = H(C) + H(F) - H(C, F)$$

We show the values of the above three entropies in Table 5, 6, and 7. In these tables,  $F$  is replaced with single letters which represent each of the model's features, i.e. character types (T), part-of-speech (P), and lexical information (W).

Gain ratio is the normalized value of information gain. The gain ratio  $GR(C; F)$  is defined by

$$GR(C; F) = \frac{I(C; F)}{H(C)}$$

The range of the gain ratio  $GR(C; F)$  is  $0 \leq GR(C; F) \leq 1$  even when the class entropy is different in various corpora, so we can compare the values directly in the different NE recognition tasks.

### 6.1 Character types

Character type features are used to identify named entities in the MUC-6 and biology corpus. However, the distribution of the character types are quite different between these two types of documents as we can see in Table 5. We see through the gain-ratio score that character type information has a greater predictive power for classes in MUC-6 than biology due to the higher entropy of character type and class sequences in the biology corpus, i.e. the greater disorder of this information. The result partially shows why identification and classification is harder in biological documents than in newspaper articles such as the MUC-6 corpus.

### 6.2 Part-of-speech

Table 6 shows the entropy scores for part-of-speech (POS) sequences in the two corpora. We see through the gain ratio scores that POS information is not so powerful for acquiring NEs in the biology domain compared to the MUC-6 domain.

Table 5: Values of Entropy for character type

Entropy	MUC-6	Biology
H(T)	1.880	2.013
H(C)	0.890	1.264
H(C,T)	2.345	2.974
I(C;T)	0.425	0.302
GR(C;T)	0.478	0.239

Table 6: Values of Entropy for POSs

Entropy	MUC-6	Biology
H(P)	4.287	4.037
H(C)	0.890	1.264
H(C,P)	4.750	5.029
I(C;P)	0.426	0.272
GR(C;P)	0.479	0.216

In fact POS information for biology is far less useful than character information when we compare the results in Tables 5 and 6, whereas POS has about the same predictive power as character information in the MUC-6 domain. One likely explanation for this is that the POS tagger we use in NE-DT is trained on a corpus based on newspaper articles, therefore the assigned POS tags are often incorrect in biology documents.

### 6.3 Lexical information

Table 7 shows the entropy statistics for the two domains. Although entropy for words in biology is lower than MUC-6, the entropy for classes is higher leading to a lower gain ratio in biology. We also note that, as we would expect, in comparison to the other two types of knowledge, surface word forms are by far the most useful type of knowledge with a gain ratio in MUC-6 of 0.897 compared to 0.479 for POS and 0.478 for character types in the same domain. However, such knowledge is also the least generalizable and runs the risk of data-sparseness. It therefore has to be complemented by more generalizable knowledge such as character features and POS.

Table 7: Values of Entropy for words

Entropy	MUC-6	Biology
H(W)	9.570	8.890
H(C)	0.890	1.264
H(C,W)	9.662	9.232
I(C;W)	0.798	0.921
GR(C;W)	0.897	0.729

Table 8: Values of Entropy for NEHMM features in the MUC-6 corpus

GR	Cross Entropy	Coverage	Features
0.994	5.38(4.08–9.68)	0.44(0.34–0.75)	for $\lambda_0$
0.898	7.69(6.97–9.32)	0.77(0.72–0.90)	for $\lambda_1$
0.967	7.73(7.07–9.30)	0.79(0.73–0.90)	for $\lambda_2$
0.798	4.38(4.12–4.82)	0.99(0.98–1.00)	for $\lambda_3$
0.340	1.62(1.32–1.90)	1.00(1.00–1.00)	$C_{t-1}$
0.806	7.65(7.11–8.65)	0.85(0.81–0.93)	$W_t$
0.461	2.64(2.41–2.97)	1.00(0.99–1.00)	$F_t$
0.558	7.91(7.25–8.99)	0.83(0.79–0.92)	$W_{t-1}$
0.221	2.94(2.70–3.25)	1.00(1.00–1.00)	$F_{t-1}$
0.806	7.65(7.11–8.65)	0.85(0.81–0.93)	$W_t F_t$
0.563	7.92(7.26–9.03)	0.83(0.79–0.92)	$W_{t-1} F_{t-1}$
0.971	5.42(4.10–9.70)	0.44(0.34–0.75)	$W_{t-1,t}$
0.633	4.18(3.91–4.60)	0.99(0.99–1.00)	$F_{t-1,t}$

Table 9: Values of Entropy for NEHMM features in the biology corpus

GR	Cross Entropy	Coverage	Features
0.977	5.83(5.66–6.14)	0.49(0.48–0.52)	for $\lambda_0$
0.793	7.93(7.77–8.08)	0.80(0.79–0.81)	for $\lambda_1$
0.929	7.79(7.65–7.85)	0.80(0.79–0.81)	for $\lambda_2$
0.643	5.07(4.95–5.21)	0.98(0.98–0.98)	for $\lambda_3$
0.315	2.26(2.24–2.28)	1.00(1.00–1.00)	$C_{t-1}$
0.694	7.64(7.52–7.78)	0.89(0.87–0.89)	$W_t$
0.257	3.12(3.06–3.19)	1.00(1.00–1.00)	$F_t$
0.423	7.99(7.82–8.05)	0.87(0.86–0.88)	$W_{t-1}$
0.093	3.33(3.27–3.43)	1.00(1.00–1.00)	$F_{t-1}$
0.694	7.64(7.52–7.78)	0.89(0.87–0.89)	$W_t F_t$
0.424	7.98(7.82–8.04)	0.87(0.86–0.88)	$W_{t-1} F_{t-1}$
0.904	5.96(5.78–6.24)	0.50(0.49–0.52)	$W_{t-1,t}$
0.339	4.65(4.53–4.78)	0.99(0.98–0.99)	$F_{t-1,t}$

### 6.4 Comparison between the combination of features

In this section we show a comparison of gain ratio for the features used by both systems in each corpus. Values of gain ratio for each feature set are shown on the ‘GR’ column in Tables 8, 9, 10 and 11<sup>1</sup>. The values of GR show that surface words have the best contribution in both corpora for both systems. We can see that gain ratio for all features in NE-DT is actually lower than the top level model for NEHMM in biology, reflecting the actual system performance that we observed.

We also see that in the biology corpus, the combination of all features in NE-DT has a lower contribution than in the MUC-6 corpus. This indicates the limitation of the current feature set for the biology corpus and shows that we need to utilize other types of features in this domain.

Values for cross entropy between training and test sets are shown in Tables 8, 9, 10 and 11 to-

<sup>1</sup>On the ‘Features’ column, “(Features) for  $\lambda_{\#}$ ” means the features used in each HMM sub-model which corresponds with the  $\lambda_{\#}$  in Equation 2. And also, ‘ALL’ in Tables 10 and 11 means all the features used in decision tree, i.e.  $\{P_{t-1,t,t+1}, F_{t-1,t,t+1}, W_{t-1,t,t+1}\}$ .

Table 10: Values of Entropy for NE-DT features in the MUC-6 corpus

GR	Cross Entropy	Coverage	Features
0.998	1.59(1.38–1.77)	0.12(0.10–0.13)	ALL
0.402	5.22(5.09–5.32)	1.00(0.99–1.00)	$P_t$
0.468	2.66(2.51–2.87)	1.00(0.99–1.00)	$F_t$
0.844	7.36(7.19–7.57)	0.81(0.80–0.83)	$W_t$
0.670	7.89(7.81–7.97)	0.98(0.96–0.98)	$P_{t-1,t}$
0.669	3.87(3.67–4.07)	0.99(0.98–1.00)	$F_{t-1,t}$
0.977	4.42(4.10–4.88)	0.36(0.34–0.40)	$W_{t-1,t}$
0.822	9.25(9.10–9.40)	0.89(0.87–0.91)	$P_{t-1,t,t+1}$
0.807	4.92(4.72–5.08)	0.96(0.95–0.96)	$F_{t-1,t,t+1}$
0.998	1.89(1.67–2.16)	0.15(0.13–0.17)	$W_{t-1,t,t+1}$

Table 11: Values of Entropy for NE-DT features in the biology corpus

GR	Cross Entropy	Coverage	Features
0.937	2.31(2.00–2.50)	0.18(0.15–0.19)	ALL
0.237	5.31(5.21–5.38)	1.00(0.99–1.00)	$P_t$
0.262	3.27(3.14–3.41)	1.00(1.00–1.00)	$F_t$
0.416	7.63(7.50–7.79)	0.87(0.85–0.88)	$W_t$
0.370	7.78(7.69–7.86)	0.97(0.96–0.97)	$P_{t-1,t}$
0.383	4.57(4.38–4.67)	0.98(0.98–0.99)	$F_{t-1,t}$
0.586	5.71(5.37–5.93)	0.48(0.45–0.50)	$W_{t-1,t}$
0.541	8.92(8.82–9.02)	0.88(0.87–0.89)	$P_{t-1,t,t+1}$
0.502	5.46(5.26–5.64)	0.95(0.94–0.96)	$F_{t-1,t,t+1}$
0.764	2.56(2.25–2.76)	0.20(0.17–0.21)	$W_{t-1,t,t+1}$

gether with error bounds in parentheses. These values are calculated for pairs of an NE class and features, and averaged for the n-fold experiments. In the MUC-6 corpus, 60 texts are separated into 6 subsets, and one of them is used as the test set and the others are put together to form a training set. Similarly, 100 texts are separated into 5 subsets in the biology corpus. We also show the coverage of the pairs on the ‘Coverage’ column. Coverage means that how many pairs which appeared in a test set also appear in a training set.

In these columns, the greater the cross entropy between features and a class, the more different their occurrences between training and test sets. On the other hand, as the coverage for class-features pairs increases, so does the part of the test set that is covered with the given feature set.

The results in both corpora for both systems show a drawback of surface words, since their coverage on a test set is lower than that of features like POSs and character types in both corpora. Also, the coverage of surface words in the biology corpus is higher than in the MUC6 corpus as opposed to other features. The result matches our intuition that vocabulary in the biology corpus is relatively restricted but has a variety of types other than normal English words.

## 7 Conclusion

The need for soundly-motivated metrics to compare the usefulness of corpora for specific tasks

and systems is clearly necessary for the development of robust and portable information extraction systems.

In this paper we have shown that measures for comparing corpora based just on class-token ratios have difficulty predicting system performance and cannot adequately explain the difficulty of the NE task either generally or for specific systems.

While we should be cautious in making sweeping conclusions due to the small size of corpora in our study, our results from gain ratio and cross entropy indicate that counts from the features of both systems will be more useful in the MUC6 corpus than in the biology corpus. We can also see that while the coverage is limited, surface words play a leading role for both systems. Gain ratio statistics for surface words in the two domains were far closer than for any other type of feature and this seems to be one likely reason that the performance of systems is about the same in both domains.

We have presented the results of applying two supervised learning based models to the named entity task in two widely different domains and explained the performance through class-token ratios, entropy and gain ratio. Measures such as entropy and gain ratio have been found to have the best predictive power, although the features used to calculate gain ratio are not sufficient to describe all the information that is necessary for the named entity task. In future work we intend to extend our study to new and larger NE corpora in various domains and to try to reduce the error factor in our calculations that is a result of corpus size.

## References

- A. Bairoch and R. Apweiler. 1997. The SWISS-PROT protein sequence data bank and its new supplement TrEMBL. *Nucleic Acids Research*, 25:31–36.
- D. Bikel, S. Miller, R. Schwartz, and R. Wesichedel. 1997. Nymble: a high-performance learning name-finder. In *Proceedings of the Fifth Conference on Applied Natural Language Processing*, pages 194–201.
- A. Borthwick, J. Sterling, E. Agichtein, and R. Grishman. 1998. Exploiting diverse knowledge sources via maximum entropy in named entity recognition. In *Proceedings of the Workshop on Very Large Corpora (WVLC’98)*.
- L. Breiman, R. Friedman, A. Olshen, and C. Stone. 1984. *Classification and regression*

- trees*. Belmont CA: Wadsworth International Group.
- S. Chen and J. Goodman. 1996. An empirical study of smoothing techniques for language modeling. *34th Annual Meeting of the Association of Computational Linguistics, California, USA*, 24–27 June.
- N. Chinchor. 1995. MUC-5 evaluation metrics. In *In Proceedings of the Fifth Message Understanding Conference (MUC-5), Baltimore, Maryland, USA.*, pages 69–78.
- N. Collier, C. Nobata, and J. Tsujii. 2000. Extracting the names of genes and gene products with a hidden Markov model. In *Proceedings of the 18th International Conference on Computational Linguistics (COLING'2000), Saarbrücken, Germany*, July 31st–August 4th.
- M. Craven and J. Kumlien. 1999. Constructing biological knowledge bases by extracting information from text sources. In *Proceedings of the 7th International Conference on Intelligent Systems for Molecular Biology (ISMB-99), Heidelberg, Germany*, August 6–10.
- K. Fukuda, T. Tsunoda, A. Tamura, and T. Takagi. 1998. Toward information extraction: identifying protein names from biological papers. In *Proceedings of the Pacific Symposium on Biocomputing'98 (PSB'98)*, January.
- MEDLINE. 1999. The PubMed database can be found at: <http://www.ncbi.nlm.nih.gov/PubMed/>.
- DARPA. 1995. *Proceedings of the Sixth Message Understanding Conference (MUC-6)*, Columbia, MD, USA, November. Morgan Kaufmann.
- C. Nobata, N. Collier, and J. Tsujii. 1999. Automatic term identification and classification in biology texts. In *Proceedings of the Natural Language Pacific Rim Symposium (NLP'99)*, November.
- D. Palmer and D. Day. 1997. A statistical profile of the named entity task. In *Proceedings of the Fifth Conference on Applied Natural Language Processing (ANLP'97), Washington D.C., USA.*, 31 March – 3 April.
- J.R. Quinlan. 1990. Introduction to Decision Trees. In J.W. Shavlik and T.G. Dietterich, editors, *Readings in Machine Learning*. Morgan Kaufmann Publishers, Inc., San Mateo, California.
- J.R. Quinlan. 1993. *c4.5 Programs for Machine Learning*. Morgan Kaufmann Publishers, Inc., San Mateo, California.
- L. Rabiner and B. Juang. 1986. An introduction to hidden Markov models. *IEEE ASSP Magazine*, pages 4–16, January.
- A. Ratnaparkhi. 1996. A maximum entropy model for part-of-speech tagging. In *Conference on Empirical Methods in Natural Language Processing*, pages 133–142, University of Pennsylvania, May.
- T. Rindfleisch, L. Tanabe, N. Weinstein, and L. Hunter. 2000. EDGAR: Extraction of drugs, genes and relations from the biomedical literature. In *Pacific Symposium on Bio-informatics (PSB'2000), Hawai'i, USA*, January.
- T. Sekimizu, H. Park, and J. Tsujii. 1998. Identifying the interaction between genes and gene products based on frequently seen verbs in medicine abstracts. In *Genome Informatics*. Universal Academy Press, Inc.
- Satoshi Sekine, Ralph Grishman, and Hiroyuki Shinnou. 1998. A Decision Tree Method for Finding and Classifying Names in Japanese Texts. In *Proceedings of the Sixth Workshop on Very Large Corpora*, Montreal, Canada, August.
- C. Van Rijsbergen. 1979. *Information Retrieval*. Butterworths, London.
- A. J. Viterbi. 1967. Error bounds for convolutions codes and an asymptotically optimum decoding algorithm. *IEEE Transactions on Information Theory*, IT-13(2):260–269.
- E.M. Voorhees and D.K. Harman, editors. 2000. *The Eighth Text REtrieval Conference (TREC-8)*, Electronic version available at <http://trec.nist.gov/pubs.html>.